

Chapter 9

Multi-Carrier CDMA



Chapter 9

☞ 9 Multi-carrier CDMA

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9.1 Introduction

☞ **Transmit high data rate in a mobile environment**

☾ **Multi-carrier Transmission**

☞ **Multi-carrier transmission and OFDM**

☾ **Multi-carrier Transmission**

➤ **Multi-carrier concept**

➤ **Orthogonality**

☾ **OFDM**

➤ **Using FFT device without increasing the transmitter and receiver complexities**

➤ **High spectral efficiency due to minimally densely subcarrier spacing**

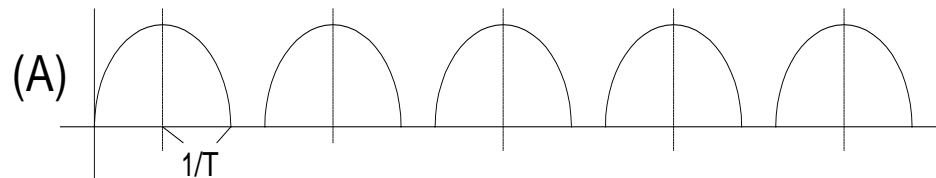


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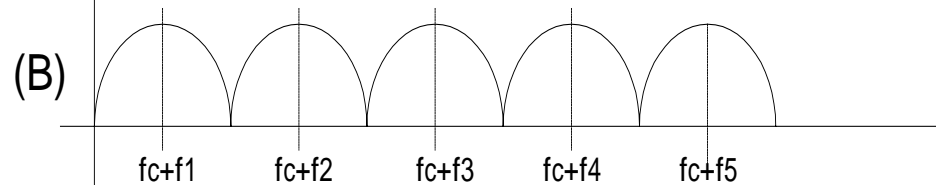
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9.1 Introduction

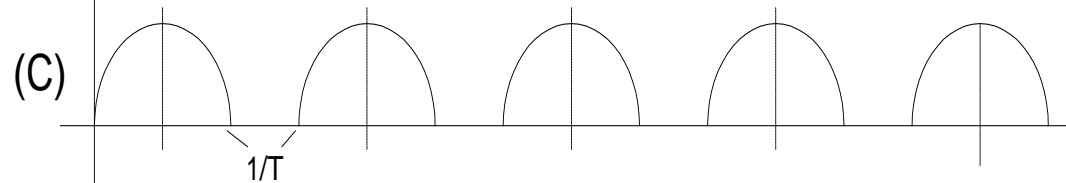
👉 Multicarrier Concept



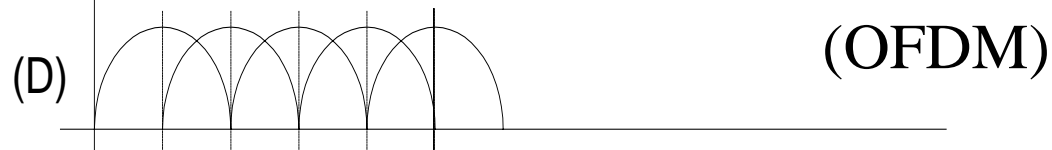
Orthogonal



Orthogonal, $n=2$



Orthogonal, $n=3$



Orthogonal, $n=1$



9.1 Introduction

👉 Orthogonality

🌟 Definition

Time domain

$$\int_{-\infty}^{\infty} x_1(t) x_2^*(t) dt = 0 \quad \Leftrightarrow$$

Frequency domain

$$\int_{-\infty}^{\infty} X_1(f) X_2^*(f) df = 0$$

🌟 Bandpass signal

$$x_m(t) = \cos(2\pi(f_c + f_m)t) = \text{Re}\left(e^{i2\pi(f_c + f_m)t}\right) = \text{Re}\left(x_{lm}(t) \cdot e^{i2\pi f_c t}\right)$$

where $x_{lm}(t) = e^{i2\pi f_m t}$ is the equivalent lowpass signal of $x_m(t)$

$$\gamma_{12} = \int_0^T e^{i2\pi f_1 t} (e^{i2\pi f_2 t})^* dt = \int_0^T e^{i2\pi(f_1 - f_2)t} dt = \frac{\sin(\pi\Delta f T)}{\pi\Delta f} e^{i\pi\Delta f T}$$

if $\Delta f T = n$, n is non-zero integer, i.e. $\Delta f = \frac{n}{T}$, then $\gamma_{12} = 0$.



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9.1 Introduction

☞ **Three types of multiple access schemes**

☾ **Combination of CDMA and Multi-carrier modulation techniques**

- **MC-CDMA System**
- **Multi-carrier DS-CDMA System**
- **Multi-Tone CDMA System**



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9.2 Family of Multi-carrier CDMA Systems

☞ **Frequency domain spreading + multi-carrier modulation**

☾★ **MC-CDMA scheme**

☞ **Time domain spreading + multi-carrier modulation**

☾★ **Multi-carrier DS-CDMA scheme**

☾★ **MT-CDMA scheme**

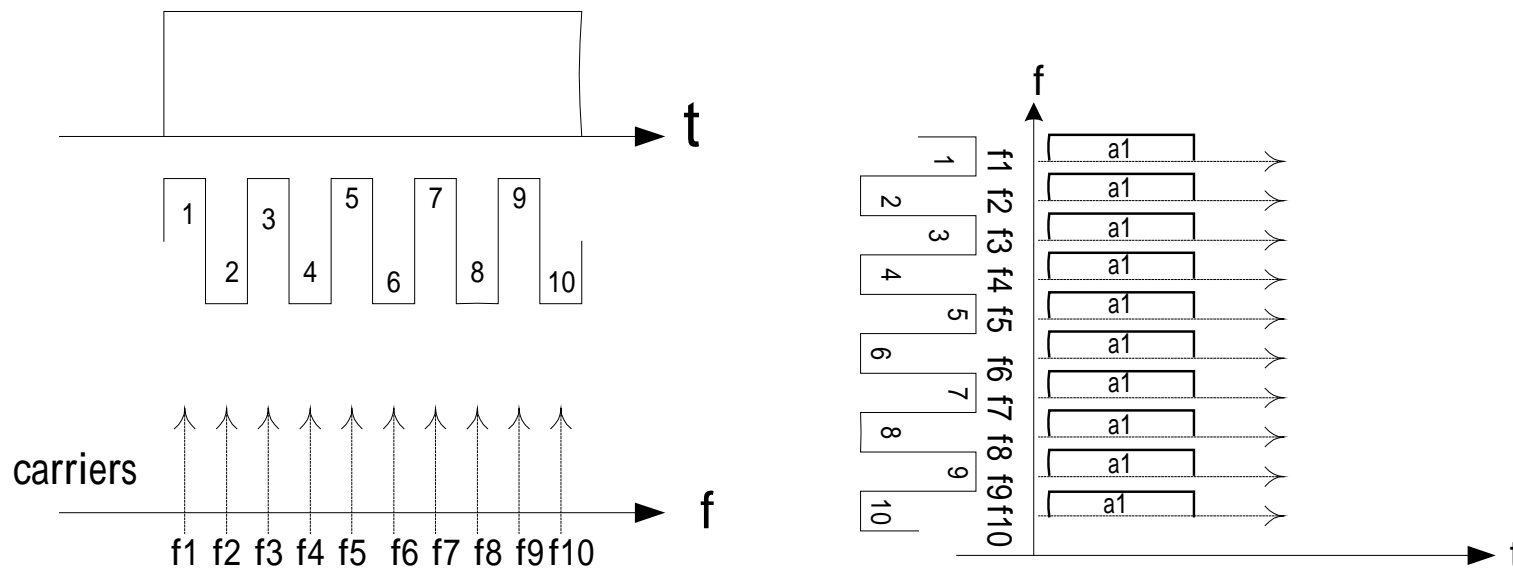


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9.2 Family of Multi-carrier CDMA Systems

Frequency domain and Time domain spreading



9.2.1 MC-CDMA System

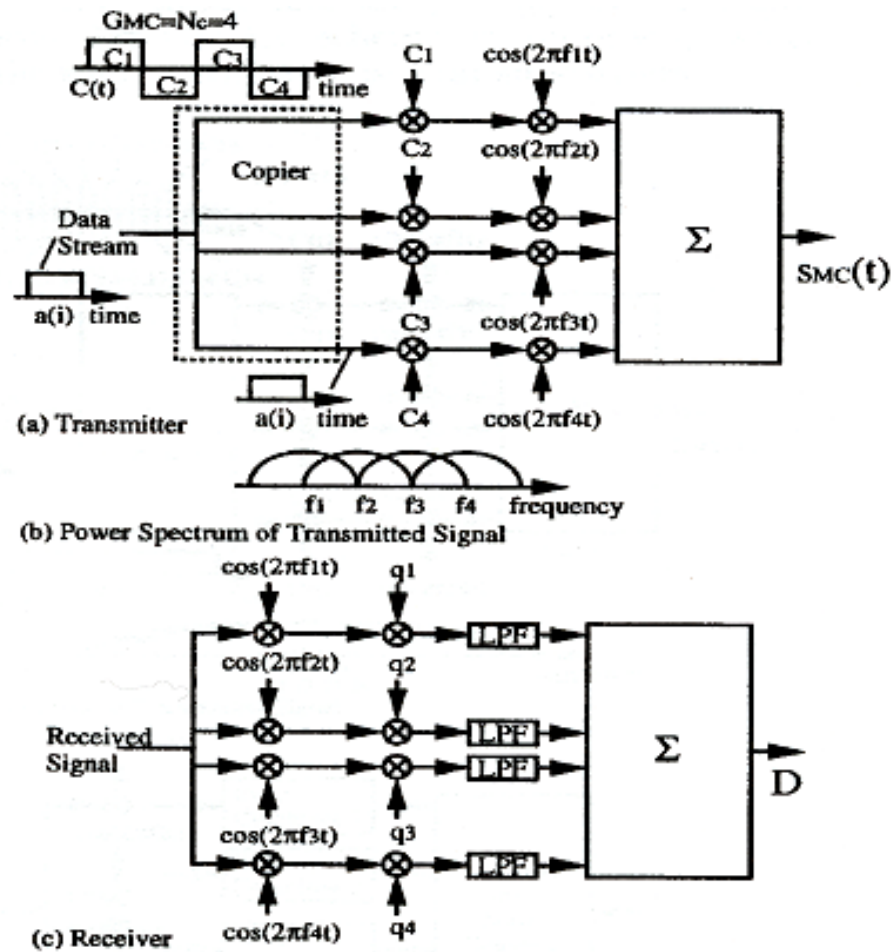
MC-CDMA System

- ☾ Frequency domain spreading
- ☾ The resulting spectrum of each subcarrier can satisfy the orthogonality condition with the minimum frequency separation.
- ☾ In a (synchronous) down-link mobile radio communication channel, we can use Hadamard Walsh codes as an optimum orthogonal set.
- ☾ It can be implemented by OFDM technique.
- ☾ It's a potential candidate for the 4th wireless communication system.



9.2.1 MC-CDMA System

MC-CDMA System



$$s_{MC}^j(t) = \sum_{i=-\infty}^{+\infty} \sum_{m=1}^{G_{MC}} a^j(i) c_m^j \cdot p_s(t - iT_s) \cos\{2\pi(f_0 + m\Delta f)t\}$$

$$\Delta f = \frac{1}{T_s}$$

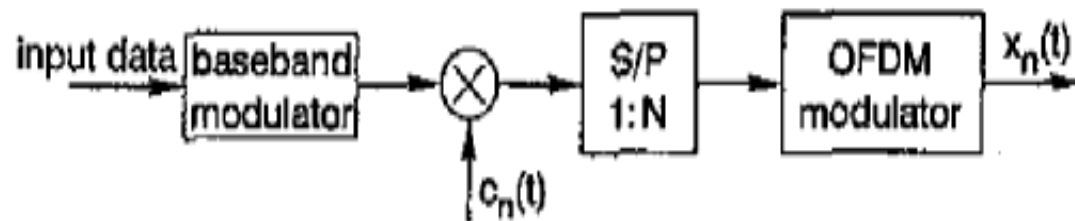
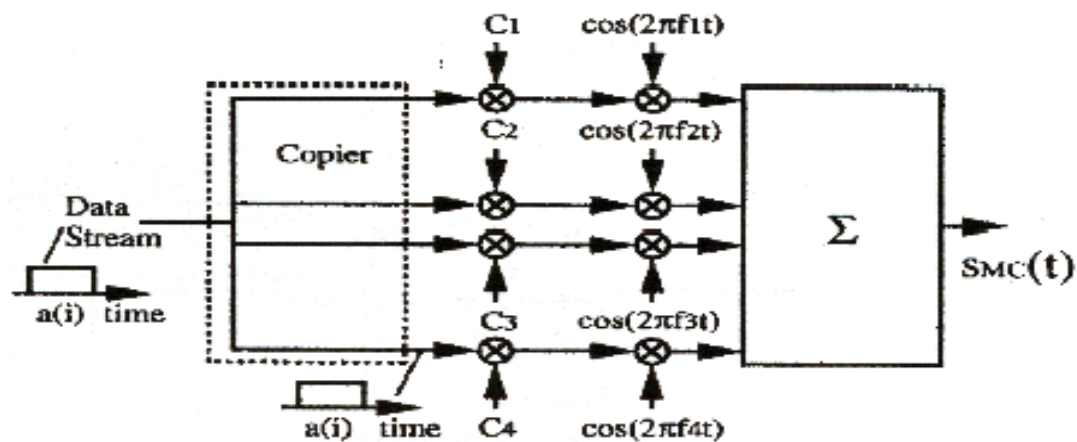
$$D^{j'} = \sum_{m=1}^{G_{MC}} q_m^{j'} y_m$$

$$y_m = \sum_{j=1}^J z_m^j a^j c_m^j + n_m$$



9.2.1 MC-CDMA System

MC-CDMA System



9.2.2 Multi-carrier DS-CDMA System

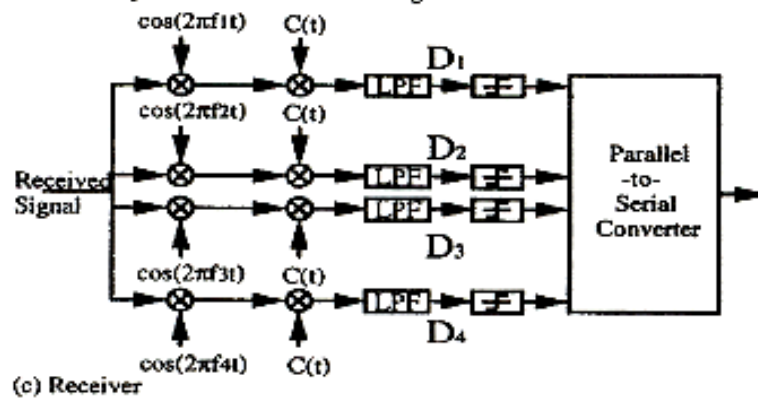
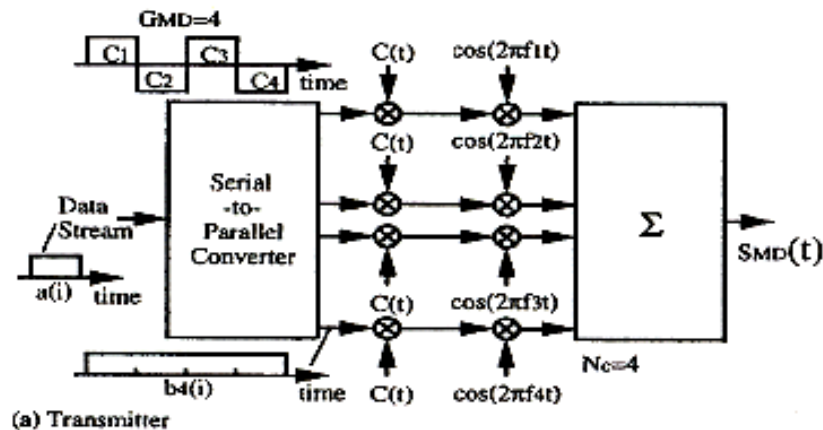
☞ Multi-carrier DS-CDMA system

- ☾ Time domain spreading
- ☾ The resulting spectrum of each subcarrier can satisfy the orthogonality condition with the minimum frequency separation.
- ☾ This scheme can lower the data rate in each subcarrier so that a large chip time make it easier to synchronize the spreading sequences.
- ☾ The multicarrier DS-CDMA scheme is originally proposed for a up-link communication channel, because this characteristic is effective for establishment of a (quasi-) synchronous channel.
- ☾ $N_c = G_{MD}$



9.2.2 Multi-carrier DS-CDMA System

Multi-carrier DS-CDMA system



$$s_{MD}^j(t) = \sum_{i=-\infty}^{+\infty} \sum_{k=1}^{N_c} \sum_{m=1}^{G_{MD}} b_k^j(i) c_m^j \cdot p_c(t - (m-1)T_c - iT_s') \cdot \cos\{2\pi(f_0 + k\Delta f')t\}$$

$$T_C = \frac{N_C T_S}{G_{DS}}$$

$$\Delta f' = \frac{1}{T_C}$$



9.2.3 Multi-Tone CDMA System

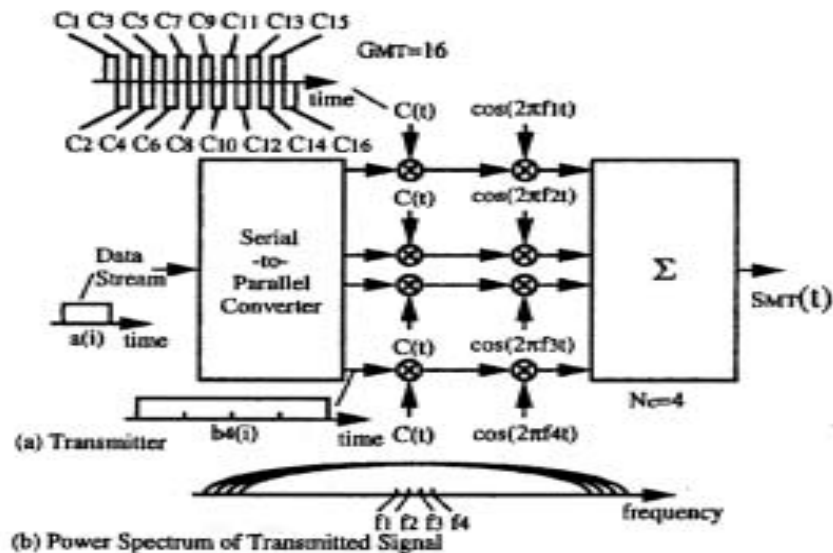
☞ Multi-Tone CDMA (MT-CDMA) system

- ☾ Time domain spreading
- ☾ The resulting spectrum of each subcarrier no longer satisfies the orthogonality condition.
- ☾ The MT-CDMA scheme uses longer spreading codes in proportion to the number of subcarriers, as compared with a normal DS-CDMA scheme.
- ☾ The MT-CDMA system can accommodate more users than the DS-CDMA system.
- ☾ $N_c < G_{MD}$



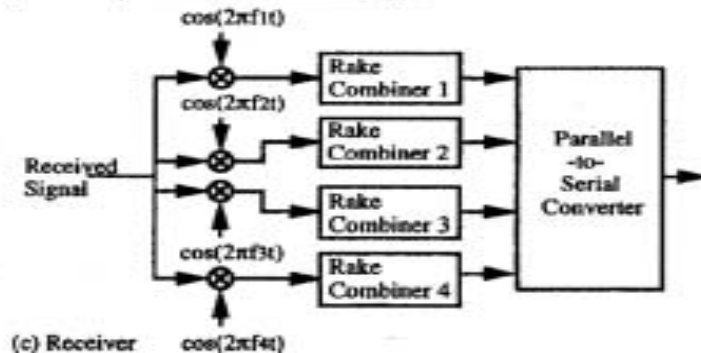
9.2.3 Multi-Tone CDMA System

Multi-Tone CDMA (MT-CDMA) system



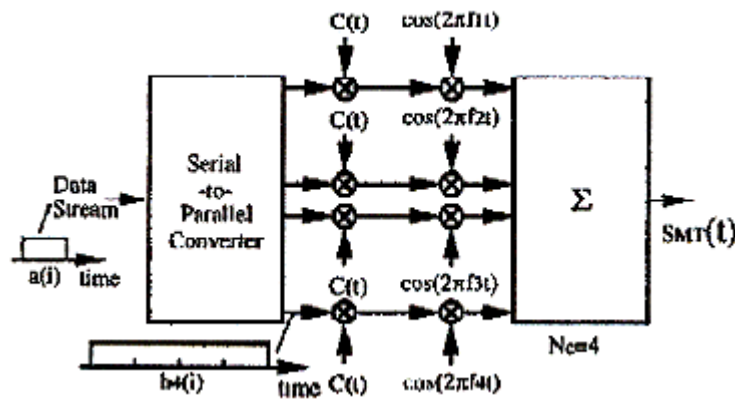
$$s_{MT}^j(t) = \sum_{i=-\infty}^{+\infty} \sum_{k=1}^{N_c} \sum_{m=1}^{G_{MT}} b_k^j(i) c_m^j \cdot p_c(t - (m-1)T_c - iT_s') \cdot \cos\{2\pi(f_0 + k\Delta f'')t\},$$

$\Delta f'' (= 1/T_s)$ is the subcarrier separation.



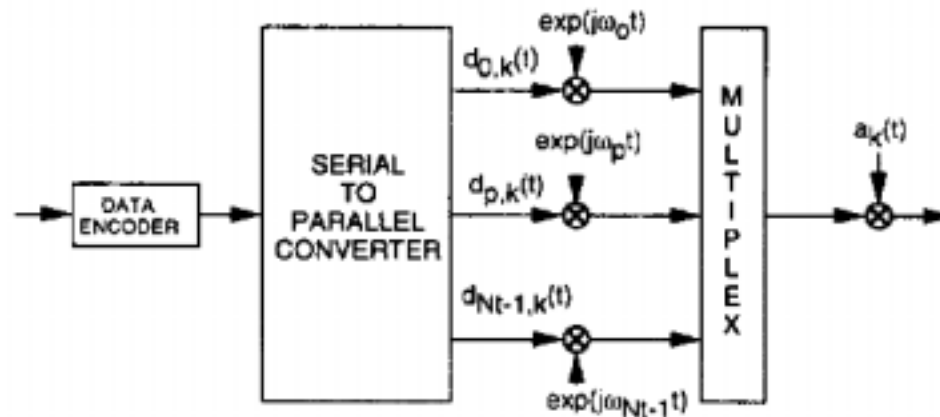
9.2.3 Multi-Tone CDMA System

Multi-Tone CDMA (MT-CDMA) system



$$s_{MT}^j(t) = \sum_{i=-\infty}^{+\infty} \sum_{k=1}^{N_c} \sum_{m=1}^{G_{MT}} b_k^j(i) c_m^j \cdot p_c(t - (m-1)T_c - iT_s') \cdot \cos\{2\pi(f_0 + k\Delta f'')t\},$$

$f'' (= 1/T_s)$ is the subcarrier separation.



9.2.4 System Features Comparison

System features comparison

Access Scheme	Symbol Duration at Subcarrier	The Number of Subcarriers	Processing Gain	Chip Duration	Subcarrier Separation	Required Bandwidth
DS-CDMA	T_s	(1)	G_{DS}	T_s/G_{DS}		G_{DS}/T_s , Nyquist Filter with Roll-off Factor ≈ 0
MC-CDMA	T_s	N_c $= G_{MC}$	G_{MC} $\approx G_{DS}$		$1/T_s$	$(G_{DS} + 1)/T_s$
Multicarrier DS-CDMA	$N_c T_s$	N_c	G_{MD} $= G_{DS}$	$N_c T_s / G_{DS}$	$G_{DS} / (N_c T_s)$	$(N_c + 1) / N_c \cdot G_{DS} / T_s$
MT-CDMA	$N_c T_s$	N_c	G_{MT} $= N_c G_{DS}$	T_s / G_{DS}	$1 / (N_c T_s)$	$(N_c - 1) / (N_c T_s) + 2G_{DS} / T_s$



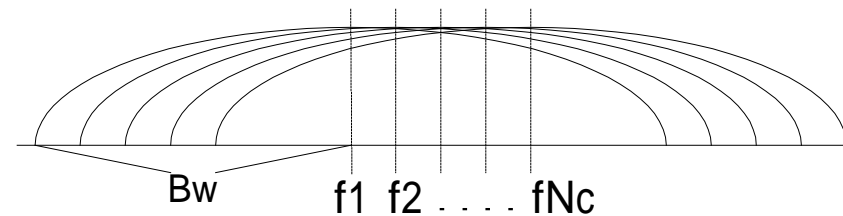
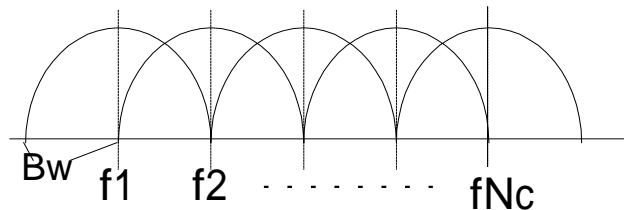
9.2.4 System Features Comparison

☞ System features comparison

☾ MC-CDMA $B_w=1/T_s$, $B=(N_c+1)/T_s$

☾ Multi-carrier DS-CDMA $B_w= G_{DS}/(N_c T_s)$, $B= (N_c+1) \times G_{DS}/(N_c T_s)$

☾ MT-CDMA $B_w= G_{DS}/T_s$, $B=2 G_{DS}/T_s +(N_c-1)/N_c T_s$



9.2.4 System Features Comparison

Receiver structures

- ✪ DS-CDMA scheme
- ✪ MC-CDMA scheme
 - 9 different structures
- ✪ Multicarrier DS-CDMA
 - Compose of N_c normal coherent (Non-Rake) receivers
- ✪ MT-CDMA
 - Compose of N_c Rake combiners
- ✪ Detection strategies comparison



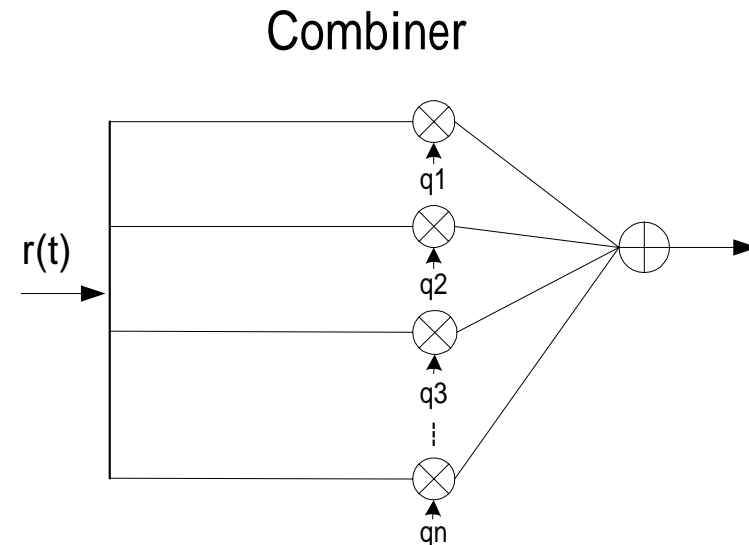
9.2.4 System Features Comparison

☞ Diversity and combining schemes

☾ Diversity

☾ Combiner

- Selection diversity
- Equal gain combining
- maximum ratio combining
- Orthogonality restoring combining
- MMSE combining
-



9.2.4 System Features Comparison

☞ Receiver structures

☾ MC-CDMA system

➤ 1. Orthogonality Restoring Combining (ORC)

✧ choosing the gain q_m as $q_m^{j'} = c_m^{j'} z_m^* / |z_m|^2$

$$D^{j'} = \sum_{m=1}^{G_{MC}} q_m^{j'} y_m,$$

$$y_m = \sum_{j=1}^J z_m^j a^j c_m^j + n_m,$$

$$D^{j'} = a^{j'} + \sum_{m=1}^{G_{MC}} c_m^{j'} z_m^* / |z_m|^2 n_m$$

, z_m is the complex envelope of the m -th subcarrier

✧ Noise enhancement



9.2.4 System Features Comparison

☞ Receiver structures

★ MC-CDMA system

➤ 2. Controlled Equalization (CE)

$$D^{j'} = \sum_{m=1}^{G_{MC}} q_m^{j'} y_m, \quad y_m = \sum_{j=1}^J z_m^j a^j c_m^j + n_m,$$

$$D^{j'} = \sum_{m=1}^{G_{MC}} c_m^{j'} z_m^* / |z_m|^2 u(|z_m| - \gamma) \left(\sum_{j=1}^J z_m^j a^j c_m^j + n_m \right)$$

$$q_m^{j'} = c_m^{j'} z_m^* / |z_m|^2$$



9.2.4 System Features Comparison

☞ Receiver structures

☾ MC-CDMA system

➤ 3. Equal Gain Combining (EGC) and Maximum Ratio Combining (MRC)

$$q_m^{j'} = \begin{cases} c_m^{j'} z_m^{j'*} / |z_m^{j'}| & (EGC) \\ c_m^{j'} z_m^{j'*} & (MRC) \end{cases}$$

✧ MRC maximizes the output SNR



9.2.4 System Features Comparison

☞ Receiver structures

★ MC-CDMA system

➤ 4. Minimum Mean Square Error Combining (MMSEC)

$$\hat{a}^{j'} = \sum_{m=1}^{G_{MC}} q_m^{j'} y_m$$

$$(a^{j'} - \hat{a}^{j'}) \cdot y_{m'}^* = 0 \quad (m' = 1, 2, \dots, G_{MC}).$$

$$q_m^{j'} = c_m^{j'} z_m^* / (J |z_m|^2 + N_0),$$



9.2.4 System Features Comparison

☞ Receiver structures

☾ MC-CDMA system

➤ 5. Maximum Likelihood Multi-User Detection (MLMUD)

✧ Find a set of $a^j, (j=1,2,\dots,J)$ to minimize the following likelihood function

$$\Lambda = \sum_{m=1}^{G_{MC}} |y_m - \sum_{j=1}^J z_m^j a^j c^j(m)|^2.$$



9.2.4 System Features Comparison

☞ Receiver structures

★ MC-CDMA system

➤ 6. EGC-EGC Multi-User Detection

- ✧ First using EGC to estimate a set of $a^j, (j=1,2,\dots,J, j \neq j')$
- ✧ And then estimates the $a^{j'}$ by EGC after removing the MAI from the received signal

$$D^{j'} = \sum_{m=1}^{G_{MC}} c_m^{j'} z_m^* / |z_m| (y_m - \sum_{\substack{j=1 \\ j \neq j'}}^J z_m^j \hat{a}^j c_m^j + n_m)$$



9.2.4 System Features Comparison

Receiver structures

MC-CDMA system

- **7. ORC-MRC Multi-User Detection (O-M MUD)**
- **8. CE-ML Multi-User Detection (C-M MUD)**
- **9. Decorrelating and MMSE interference Canceller (DIC and MMSEIC)**



9.2.4 System Features Comparison

Receiver structures

MT-CDMA system

- **DFE - Decision Feedback Equalizer**
- **LE - Linear Equalizer**
- **JEIC - Joint multiple access Interference Canceller/Equalizer**



9.2.4 System Features Comparison

👉 Detection strategies comparison

Access Scheme	Detection Strategy	Up-Link	Down-Link
DS-CDMA	Rake Combiner MUD	○ ○	○
MC-CDMA	ORC CE EGC MRC MMSEC ML MUD E-E MUD O-M MUD C-M MUD DIC MMSEIC	○ ○	○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○
Multicarrier DS-CDMA	Non-Rake Receiver	○	
MT-CDMA	Rake Combiner DFE LE JEIC	○ ○ ○ ○	



9.2.4 System Features Comparison

☞ Bit error rate comparison

★ BER lower bound

$$\begin{aligned} BER_{LB} &= \left(\frac{1-\mu}{2} \right)^2 \sum_{l=0}^1 \binom{l+1}{l} \left(\frac{1+\mu}{2} \right)^l \\ \mu &= \sqrt{\frac{E_b/N_0}{2 + E_b/N_0}} \end{aligned}$$

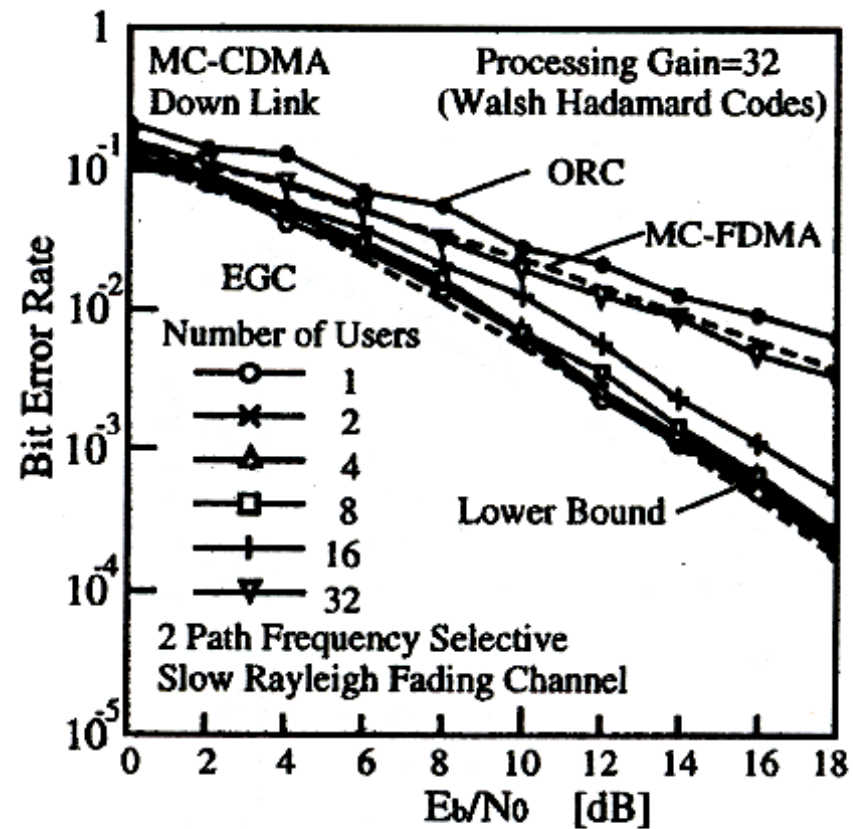
★ BER of an MC-FDMA

$$BER_{MC-FDMA} = \frac{1}{2} \left(1 - \sqrt{\frac{E_b/N_0}{1 + E_b/N_0}} \right)$$



9.2.4 System Features Comparison

BER performance

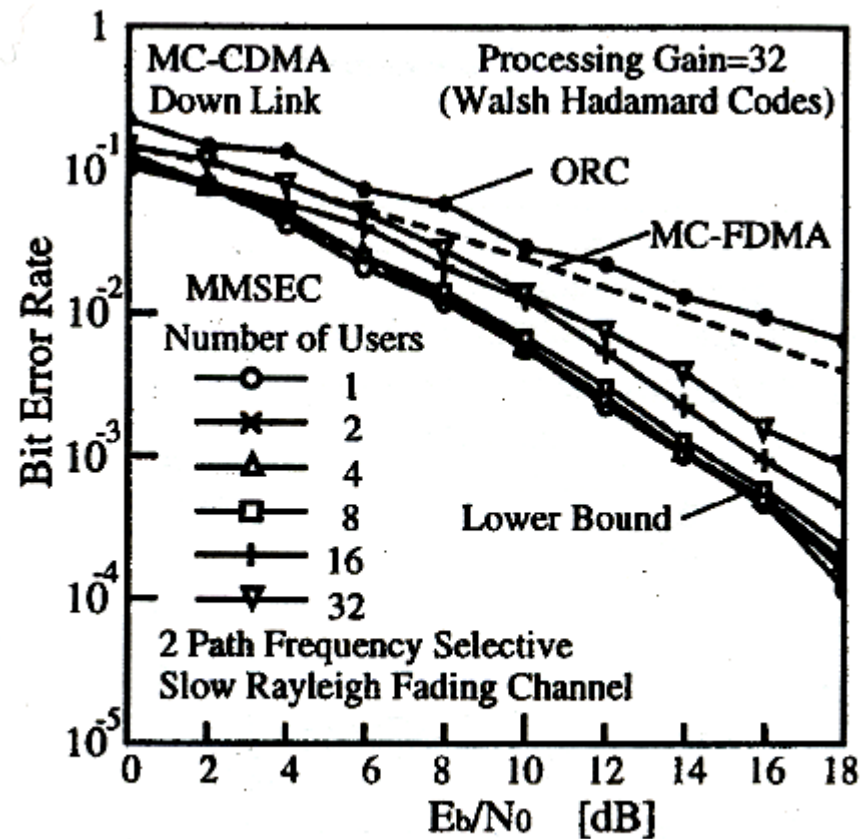


BER performance of MC-CDMA scheme with EGC



9.2.4 System Features Comparison

BER performance

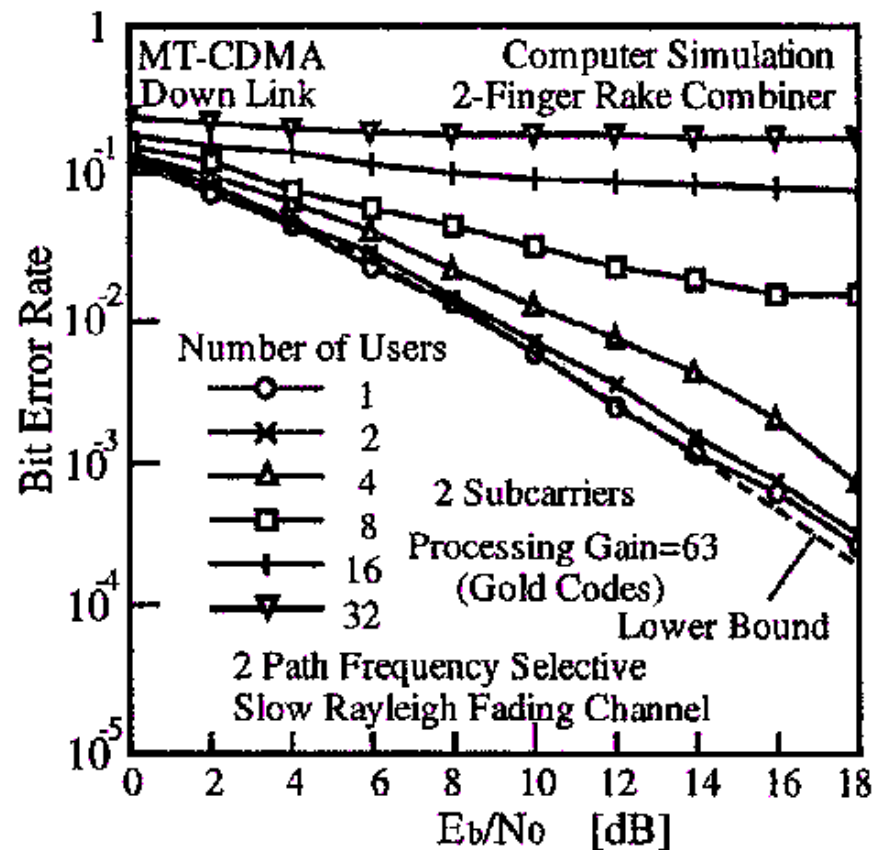


BER performance of MC-CDMA scheme with MMSEC



9.2.4 System Features Comparison

BER performance

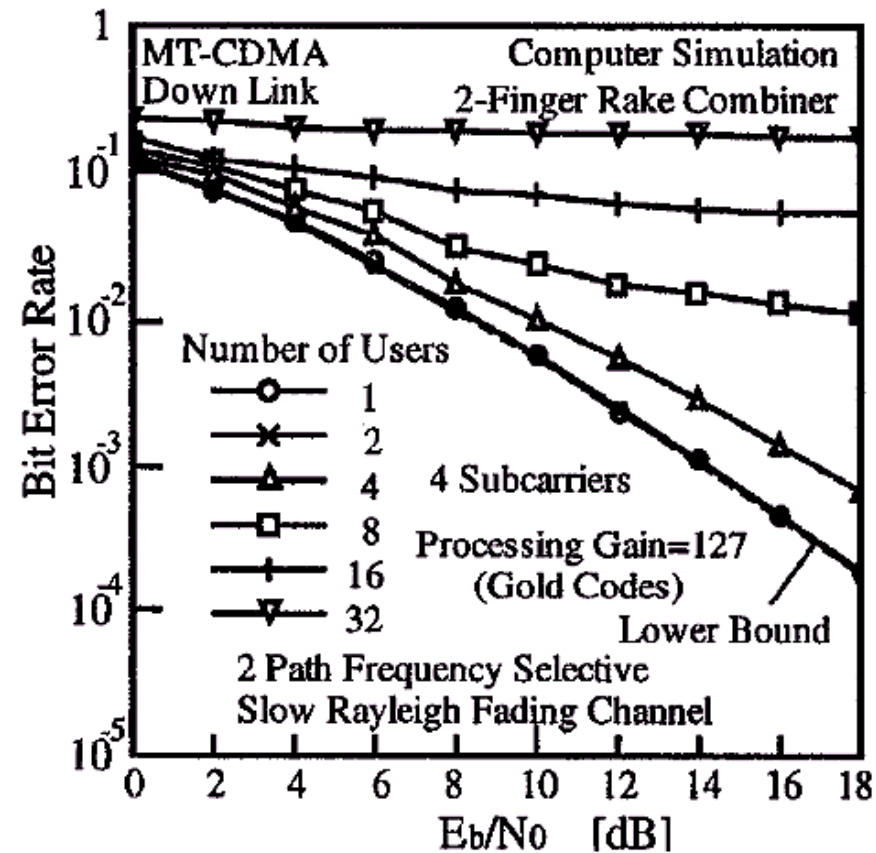


BER performance of MT-CDMA scheme with 2 subcarriers



9.2.4 System Features Comparison

BER performance

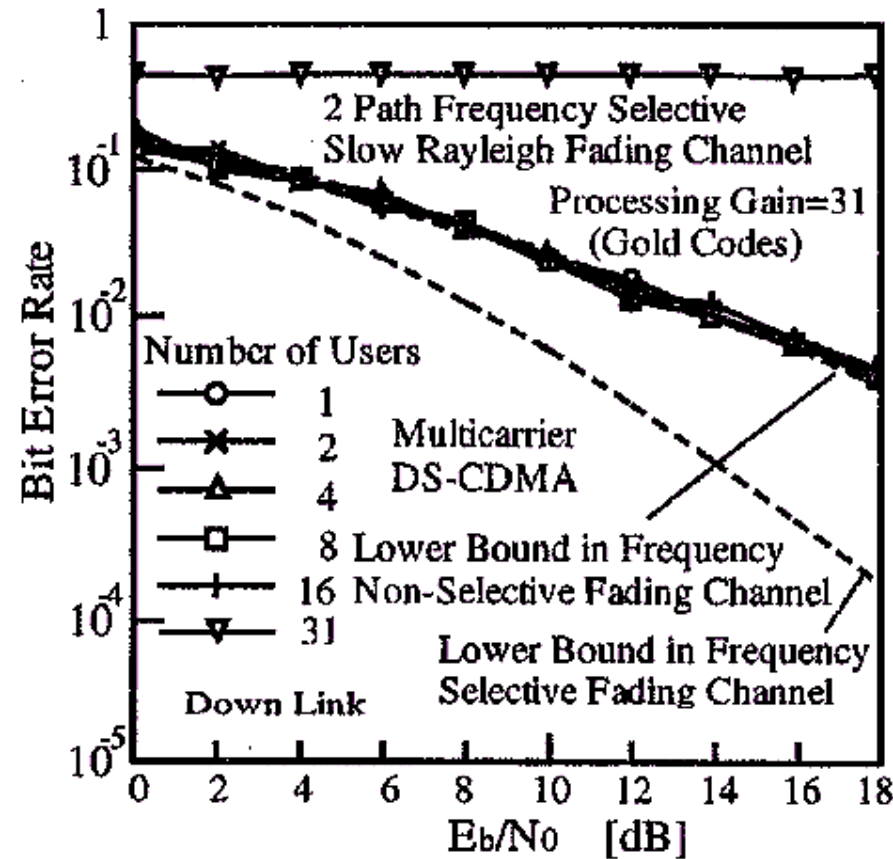


BER performance of MT-CDMA scheme with 4 subcarriers



9.2.4 System Features Comparison

BER performance



BER performance of Multicarrier DS-CDMA scheme

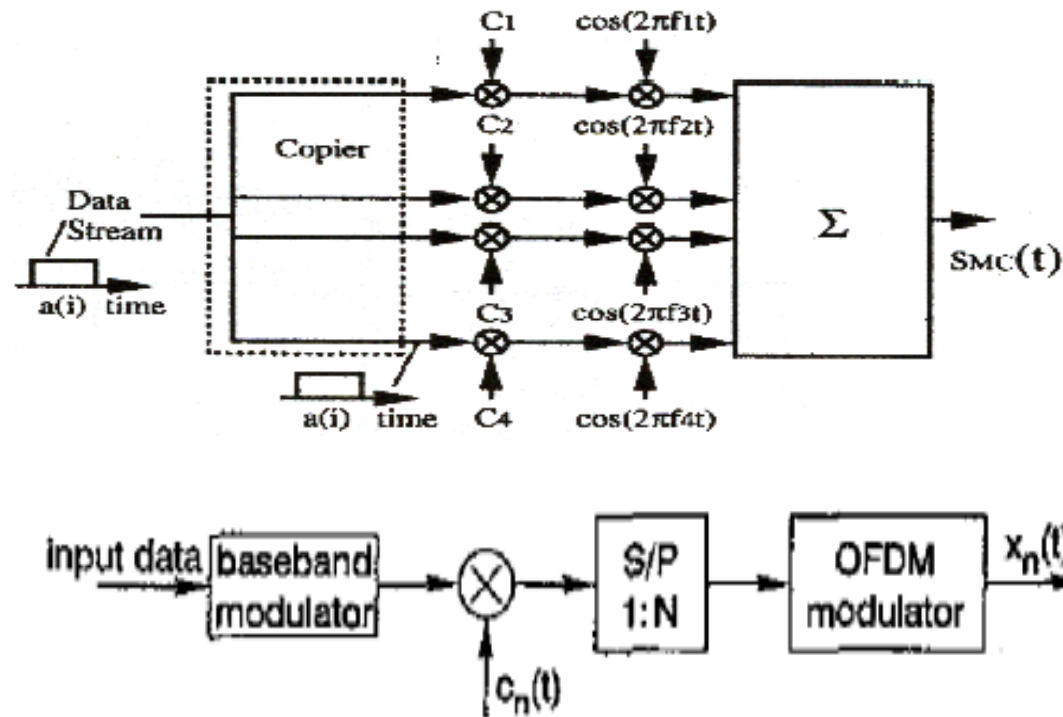


9.3 Differences between OFDM and MC-CDMA

☞ MC-CDMA system is a CDMA based on a combination of CDMA and OFDM signaling.

- ☾ OFDM-CDMA

- ☾ MC-CDMA can be implemented by OFDM technique.



9.3 Differences between OFDM and MC-CDMA

- ❏ MC-CDMA spreads the signal in the frequency domain according to the code.
- ❏ MC-CDMA can view as employing the frequency diversity method.
- ❏ MC-CDMA performs better than DS-CDMA in Downlink level. But performs even worse in Uplink level.
- ❏ MC-CDMA has gained much attention, because the signal can be easily transmitted and received using the fast Fourier transform (FFT) device without increasing the transmitter and receiver complexities and it is potentially robust to channel frequency selectivity with a good frequency use efficiency.



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- ☞ [2] Ahmad R.S. Bahai and Burton R. Saltzberg *Multi-carrier Digital Communications Theory and Applications of OFDM*, Kluwer Academic/Plenum Publishers.
- ☞ [3] L. Hanzo, W. Webb and T. Keller, *Single- and multi-carrier quadrature amplitude modulation – Principles and applications for personal communications, WLANs and broadcasting*, John Wiley & Sons, Ltd, 2000.
- ☞ [4] Prasad, R.; Hara, SP. “An overview of multi-carrier CDMA,” *Spread Spectrum Techniques and Applications Proceedings, 1996.*, IEEE 4th International Symposium on , Volume: 1 , 1996 Page(s): 107 - 114 vol.1

